COIAC Review Guidelines Version 1: 2/2/22 What must be disclosed based on sponsor type? National Science Public Health Service Private Government Foundation Income and/or equity in Board member, publicly-held entity consultant or has a exceeds \$10,000; Income exceeding management position Income and/or equity in a 5% ownership interest in with the sponsor; \$10,000 from either a publicly-held entity any entity; publicly traded, private or exceeds \$5,000; Equity exceeding \$2000 or non-profit entity; A management position, more in the sponsor. Income or any amount of whether paid or unpaid, Equity exceeding \$5,000 Income or loans from the equity in a privately-held such as a board member, sponsor exceeding \$500; or 5% of the value of the entity exceeds \$5,000; director, officer, partner, Gifts exceeding \$50 in entity; AND/OR or trustee, held by the value; investigator; Any intellectual property Income from intellectual The entity has sponsored not held by the UC property rights exceeds Intellectual property Regents. travel exceeding \$500 in \$5,000 in the previous 12 interest in the form of a income or gifted more months, excluding patent, patent application than \$50 in travel costs to royalties received from or copyright held by the the investigator; the University of Investigator, assigned or California Regents. to be assigned to a party Anything that meets the other than The Regents. PHS threshold. Does a related* disclosure require a Does a related* disclosure require a management plan? management plan? Yes. Anything that Only if it meets the following thresholds: meets the PHS, NSF, or government Exceeds \$5,000 in income and/or equity in a publicly-held entity. managed if related. Exceeds \$5,000 in income or any amount of equity in a privately-held entity. Does the disclosure need to go to the COIAC for review? Income from intellectual property rights exceeding \$5,000 in the previous 12 months, excluding royalties received from the University of California Regents. Yes, unless one or more of the following conditions are met: A management position, whether paid or 1. The study at issue is not a UCSF research project funded by the unpaid, such as a board member, director, Public Health Service (PHS) or PHS agencies. officer, partner, or trustee, held by the investigator. 2. The value of the investigator's interest is less than \$10,000 and the investigator owns less than 5% ownership equity; or the interest disclosed is greater than \$10,000 or a greater than 5% equity interest,

- *A financial interest is $\underline{\textbf{related}}$ to the research if either of the following conditions apply:
- · The value of the interest (e.g. material or intellectual property) could be affected by the outcome of the project.
- \cdot The investigator has financial interests in an entity of which the value could be affected by the outcome of the project.

but the investigator's role in the study is tangential or very minimal.

A disclosure is very similar to an existing, COIAC-approved disclosure, and the management plan would be the same.
The COIAC Administrator and Chair determine there are other reasonable circumstances for administrative approval, including indirect relationship with potential conflict.